

PATENT
Atty. Dkt. AMAT/5699.P3/CMP/CMP/RKK

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Liu, et al.

Serial No.: 10/608,404

Confirmation No.: 7966

Filed: June 26, 2003

For: Method and Composition
for Polishing a Substrate

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Group Art Unit: 1765

Examiner: Unknown

CERTIFICATE OF MAILING
37 CFR 1.8

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

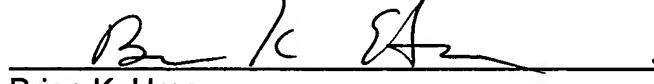
While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

Applicants certify that all references submitted with this disclosure were first cited in a communication from a foreign patent office dated November 11, 2004, which communication is enclosed, not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Respectfully submitted,



Brian K. Hrna
Registration No. 41,852
MOSER, PATTERSON & SHERIDAN, L.L.P.
3040 Post Oak Blvd. Suite 1500
Houston, TX 77056
Telephone: (713) 623-4844
Facsimile: (713) 623-4846
Attorney for Applicant(s)

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Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/608,404
		Filing Date	JUNE 26, 2003
		First Named Inventor	LIU, ET AL.
		Group Art Unit	1765
		Examiner Name	UNKNOWN
		Attorney Docket Number	AMAT/5699.P3/CMP/CMP/RKK
Sheet	1	of	2
		Submission Date	JANUARY 14, 2005

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
A1	US-6,541,384	B1	04/01/2003	LIZHONG, ET AL.	
A2	US-2003/073311	A1	04/17/2003	JOSEPH, ET AL.	
A3	US-2002/182982	A1	12/05/2002	JUI-LUNG, ET AL.	
A4	US-2002/104764	A1	08/08/2002	GAUTAM, ET AL.	
A5					
A6					
A7					
A8					
A9					
A10					
A11					
A12					
A13					
A14					
A15					
A16					
A17					
A18					

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
B1	WO 03/72672		09/04/2003	LIU, ET AL.	
B2					
B3					
B4					
B5					

Examiner	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Substitute for form 1449B/PTO		Application Number	10/608,404
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	JUNE 26, 2003
		First Named Inventor	LIU, ET AL.
		Group Art Unit	1765
		Examiner Name	UNKNOWN
		Attorney Docket Number	AMAT/5699.P3/CMP/CMP/RKK
Sheet	2	of	2
(Use as many sheets as necessary)			
Submission Date			
JANUARY 14, 2005			

NON-PATENT LITERATURE DOCUMENTS

Examiner

Date Considered

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